

U.S. Department of Justice
Washington, DC 20530

OMB NO. 1124-0002; Expires February 28, 2014

Supplemental Statement

Pursuant to the Foreign Agents Registration Act of 1938, as amended

For Six Month Period Ending Feb. -July 2012

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant (b) Registration No.

Hong Kong Trade Development Council Inc.

2181

- (c) Business Address(es) of Registrant

219 East 46th Street, New York NY 10017

2. Has there been a change in the information previously furnished in connection with the following?

- (a) If an individual:

(1) Residence address(es)	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input type="checkbox"/>

- (b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

- (c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

2013 MAR -8 PM 2:38

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IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐ No ☐

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Hong Kong Trade Development Council, Hong Kong

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐

Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

Hong Kong Trade Development Council
38/F, Office Tower, convention Plaza, 1 Harbour Road, Wanchai Hong Kong

- to promote trade with the United States into and out of Hong Kong.
 - to provide information on market intelligence.
 - to assist Head Office in the execution of promotional projects.
 - to establish, maintain and improve a databank of useful business contacts for handling business matching enquires.
 - to provide consultative and trade enquiry services to Hong Kong and American businessmen.
- See attachment for detailed activities.

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

Date	From Whom	Purpose	Amount
February 2012 to July 2012	Hong Kong Trade Development Council, Hong Kong	Operating Expenses:	
		New York Office	\$1,165,187.45
		Chicago Office	\$ 294,126.05
		Los Angeles Office	\$1,845,103.30

Total

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration?

Yes ☐No ☐

If yes, indicate the date the Exhibit D was filed.

Date _____

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐

No ☒

If yes, furnish the following information:

Foreign Principal

Date Received

Thing of Value

Purpose

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
February 2012 to July 2012	See attachment for details		

\$3,213,171.31

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
 Yes ☐ No ☒

If Yes, go to Item 17.

- (b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?
 Yes ☐ No ☒

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

Electronic Communications

- ☐ Email
☐ Website URL(s): _____
☐ Social media websites URL(s): _____
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in the informational materials:

- ☐ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)August 24, 2012/s/ Ka Wai LeeeSigned

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 2013 MAR -8 PM 2:38

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Date	Name of Event	Venue	Organizer(s)	Description
February 6-8, 2012	WSA Show	Sands Expo & Convention Center Las Vegas, NV	ENK International	Footwear and accessories
February 12-15, 2012	American International Toy Fair	Jacob K. Javits Convention Center New York, NY	Toy Industry Association, Inc.	Toys, games, gifts and partywares
February 12-15, 2012	MAGIC	Las Vegas Convention Center Las Vegas, NV	Advanstar Communications Inc.	Apparel Industry
February 16, 2012	Sherman Oaks Business Luncheon	Sportsmen Lodge Studio City, CA	Sherman Oaks Chamber of Commerce	Networking luncheon
February 24, 2012	Trade Connect Workshop	San Gabriel Hilton Hotel San Gabriel, CA	Port of Los Angeles	Outreach event
March 10-13, 2012	International Home & Housewares Show	McCormick Place Chicago, IL	International Housewares Association	Housewares products
March 13, 2012	PortTechLA Forum	Los Angeles Harbour College San Pedro, CA	Port Tech Los Angeles	Outreach event
March 13, 2012	Napa Wine Sales Presentation	Embassy Suites Hotel Napa Valley Napa, CA	Hong Kong Trade Development Council	Networking luncheon
March 23-25, 2012	International Vision Expo East	Jacob K. Javits Convention Center New York, NY	Reed Exhibition Companies	Optical and accessories
March 25-28, 2012	ASD Las Vegas	Las Vegas Convention Center Las Vegas, NV	Nielson Expositions	Consumer goods
April 18, 2012	Global Networking Breakfast	Valley International Trade Assn Sherman Oaks, CA	Valley International Trade Association	Networking breakfast
April 19, 2012	2012 Small Business Development Day	Marriott Hotel Anaheim, CA	Asian Business Association	Outreach event
April 19-22, 2012	The Specialty Coffee Event - 24th Annual Exposition	Oregon Convention Center Portland, OR	Specialty Coffee Association of America	Coffee related products
April 20, 2012	Hong Kong Food Expo - Breakfast Briefing	Doubletree Hotel Ontario, CA	Hong Kong Trade Development Council	Breakfast briefing
April 22-26, 2012	Society of Automotive Engineer	Cobo Center Detroit, MI	SAE Congress & Exposition	Auto/Parts related
April 26, 2012	Joint Networking Mixer	Hilton Hotel San Gabriel, CA	China Enterprise Council Hong Kong Trade Development Council US-China Guangdong Chamber of Commerce North American Rep office of Shenzhen/PR China	Business mixer
May 1-3, 2012	National Hardware Show	Las Vegas Convention Center Las Vegas, NV	Reed Exhibitions	Hardware and home improvement

May 3, 2012	Hong Kong Food Expo - Seminar Luncheon	Chinamax Chinese Restaurant San Diego, CA	Hong Kong Trade Development Council	Outreach luncheon
May 4, 2012	Hong Kong Food Expo - Breakfast Briefing	Courtyard by Marriott Oxnard, CA	Hong Kong Trade Development Council	Breakfast briefing
May 11, 2012	SBCACC Intellectual Property Luncheon	Holiday Inn Torrance, CA	South Bay Chinese American Chamber of Commerce	Networking luncheon
May 16, 2012	Resolving Commercial Disputes in Asia: The Hong Kong Advantages	Duane Morris LLP New York, NY	Hong Kong Trade Development Council Hong Kong Association of New York	Breakfast seminar
May 18, 2012	Going Global: Doing Business With Asia	Temple University Main Campus Philadelphia, PA	Temple University Small Business Development Center	Outreach event
May 20-23, 2012	National Stationery Show	Jacob K. Javits Convention Center New York, NY	George Little Management LLC	Stationery, supplies and gift items
June 1-4, 2012	JCK Las Vegas Show	Mandalay Bay Convention Center Las Vegas, NV	Reed Exhibitions	Jewelry and decorative accessories
June 5-7, 2012	Electronic Entertainment (E3) Expo	Los Angeles Convention Center Los Angeles, CA	Entertainment Software Association	Computer & Video Game Industry
July 12, 2012	A Taste of Wine: Hong Kong-China Wine Market Opportunities	Monterey Hill Restaurant Monterey Park, CA	Hong Kong Trade Development Council Hong Kong Association of Southern California Chinese CEO Organization	Business mixer
June 12-14, 2012	Licensing Expo 2012	Mandalay Bay Convention Center Las Vegas, NV	Advanstar Global Licensing Group	Brand and Property Marketplace
June 18-21, 2012	2012 BIO International Convention	Boston Convention and Exhibition Center Boston, MA	Biotechnology Industry Organization	Biotechnology
July 13, 2012	HKASC Law Seminar	San Gabriel Hilton Hotel San Gabriel, CA	Hong Kong Association of Southern California	Outreach event
July 18, 2012	Hong Kong: The Gateway for Green Business in the China Market	O'Asian Chinese Restaurant Seattle, WA	Hong Kong Trade Development Council	Networking luncheon
July 19, 2012	Hong Kong: The Gateway for Green Business in the China Market	World Trade Center Portland Portland, OR	Hong Kong Trade Development Council	Networking breakfast
July 26, 2012	Joint Seminar and Networking Mixer in Long Beach	The Reef Restaurant Long Beach, CA	Hong Kong Association of Southern California U.S. Small Business Administration Bureau of Industry & Security/US Dept of Commerce	Outreach event
July 31, 2012	Wine & Cheese Networking	HKTDC New York, NY	Hong Kong Trade Development Council New York District Export Council	Business mixer

(Page 6 Item 15)			
Expenditure Heading	New York Office	Chicago Office	Los Angeles Office
Staff Costs	691,043.44	111,147.72	383,893.12
Cable, internet, Phone & Fax	9,964.50	6,888.58	7,630.45
Printing & Statonery	2,204.53	4,964.50	3,131.86
Office Rent includes electricity/gas	46,269.49	36,654.40	54,061.92
Publicity (yellow page listing)	0.00	73.48	114.60
Publications/Subscriptions	1,903.04	79.12	3,445.58
Mailing/Postage	3,334.47	2,562.68	6,068.88
Miscellaneous	376,885.89	105,498.47	1,317,883.59
Advertising *(see breakdown)	17,097.00	0.00	20,370.00
Miscellaneous included Official Entertainment, Staff Recurrent, Traveling, Non-Recurrent Expenses, Trade Promotion and Audit Fees.			

2013 MAR -8 PM 2:38

UNIT
HSD/CES/REGISTRATION

HKTDC - NEW YORK OFFICE

Advertising Agency Company

Company	Description	Check Number	Payment date	Amount
Home Textiles Today PO Box 209136 Dallas, TX 75320-9136	Ads in Home Textiles Today, Dec 19/2011 & Jan 9/2012 promoting HK International Home Textiles & Furnishings Fair April 2012	Check # 16523	02-02-2012	\$5,000.00
Publishers Weekly 71 West 23rd Street, Suite 1608 New York, NY 10010-4186	Ads in Publishers Weekly, Jan 23/12 issue promoting HK Intl Printing & Packaging Fair April 2012	Check # 16526	02-06-2012	\$4,500.00
Scranton Gillette Communications Inc 3030 Salt Creek Lane, Suite 201 Arlington Heights, IL 60005	Online banner at ResidentialLighting.com on Jan 9/2012 Ad in Residential Lighting, Jan 2012 issue promoting HK International Lighting Fair April 2012	Check # 16552	02-21-2012	\$3,352.00
MacFadden Pet Business, LLC 333 Seven Avenue, 11th Floor New York, NY 10001	Banner ad at PetBusiness E-Newsletter, Feb/12 promoting HKTDC World of Pet Supplies at the HK Houseware Fair April 2012	Check # 16598	03-16-2012	\$750.00
MacFadden HFN, LLC 333 Seven Avenue, 11th Floor New York, NY 10001	Ad in Home Furnishings News, Mar/12 issue promoting four April Fairs: HK Intl Home Textiles, Houseware Fair, Gifts & Premium and Lighting Fair 2012	Check # 16608	03-21-2012	\$3,495.00

Total \$17,097.00

2013 MAR -8 PM 2:38

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HKTDC - LOS ANGELES OFFICE
Advertising Agency Company

Company	Description	Check Number	Payment date	Amount
MAGIC / Advanstar Communications 2501 Colorade Avenue, Suite 280 Santa Monica, CA 90404	Ad in MAGIC Show Guide, Feb 12-15, 2012 promoting Hong Kong Fashion Week July 2012, Fashion Week and World Boutique Jan 2013.	Check # 21729	02-13-2012	\$4,500.00
MnM Publicating Corporation 110 East 9th Street, Suite A-777 Los Angeles, CA 90079-1777	Ad in California Apparel News, Feb 10, 2012 issue promoting Hong Kong Pavilion at MAGIC Feb 2012	Check # 21738	02-14-2012	\$4,000.00
MAGIC/Advanstar Communications 2501 Colorade Avenue, Suite 280 Santa Monica, CA 90404	Ad in National Hardware Show Pocket Guide promoting the Hong Kong Pavilion at National Hardware Show May 2012	Check # 21856	04-05-2012	\$6,300.00
ASD Trade Show PO Box 88943 Chicago, IL 60695-1943	Banner ads promoting HKTDC Publications	Check # 21993	06-12-2012	\$4,570.00
Hong Kong Assn Of Southern 350 S. Figueroa Street Los Angeles, CA 90071	Ad in 2012/2013 Membership Directory promoting HKTDC services at Los Angeles Office	Check # 12057	07-25-2012	\$1,000.00

Total \$20,370.00

2013 MAR -8 PM 2:38

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